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NEW UNITED STATES UTILITY PATENT APPLICATION
under 37 C.F.R. 1.53(b)

Atty. Docket No. 01309.73108

Assistant Commissioner of Patents
Box Patent Applications
Washington, D.C. 20231

Enclosed herewith is a new patent application and the following papers:

First Named Inventor (or application identifier): Kenji YONEDA

Title of Invention: LIGHTING UNIT

1. ☒ Specification 15 pages (including specification, claims, abstract) / 13 claims (1 independent)
2. ☒ Declaration/Power of Attorney is:
☒ attached in the regular manner.
☐ NOT included, but deferred under 37 C.F.R. § 1.53(f).
3. ☒ 9 Distinct sheets of ☒ Formal ☐ Informal Drawings
4. ☒ Preliminary Amendment.
5. ☐ Information Disclosure Statement
☐ Form 1449
☐ A copy of each cited prior art reference
6. ☐ Assignment with Cover Sheet.
7. ☐ Priority is hereby claimed under 35 U.S.C. § 119 based upon the following application(s):

Country	Application Number	Date of Filing (day, month, year)

8. ☐ Priority document(s).
9. ☒ Statement Claiming Small Entity Status.
10. ☐ Microfiche Computer Program (Appendix).
11. ☐ Nucleotide and/or Amino Acid Sequence Submission.
☐ Computer Readable Copy.
☐ Paper Copy (identical to computer copy).
☐ Statement verifying identity of above copies.

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12. Calculation of Fees:

FEES FOR	EXCESS CLAIMS	FEE	AMOUNT DUE
Basic Filing Fee (37 C.F.R. § 1.16(a))			\$790.00
Total Claims in Excess of 20 (37 C.F.R. § 1.16(c))	0	22.00	\$0.00
Independent Claims in Excess of 3 (37 C.F.R. § 1.16(b))	0	82.00	\$0.00
Multiple Dependent Claims (37 C.F.R. § 1.16(d))	0	270.00	\$0.00
Subtotal - Filing Fee Due			\$790.00
REDUCE BY (%) (\$)			
Reduction by 50%, if Small Entity (37 C.F.R. §§ 1.9, 1.27, 1.28)	0.5		\$395.00
TOTAL FILING FEE DUE			\$395.00
Assignment Recordation Fee (if applicable) (37 C.F.R. § 1.21(h))	0	40.00	\$0.00
GRAND TOTAL DUE			\$395.00

13. PAYMENT is:

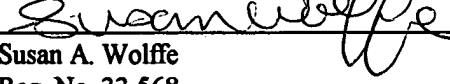
- ☒ **included** in the amount of the GRAND TOTAL by our enclosed check. A general authorization under 37 C.F.R. § 1.25(b), second sentence, is hereby given to credit or debit our Deposit Account No. 19-0733 for the instant filing and for any other fees during the pendency of this application under 37 C.F.R. §§ 1.16, 1.17 and 1.18.
- ☐ **not included**, but deferred under 37 C.F.R. § 1.53(f).

14. All correspondence for the attached application should be directed to:

Banner & Witcoff, Ltd.
1001 G Street, N.W.
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Telephone: (202) 508-9100
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15. Other: _____

Date: December 23, 1997

By: 
Susan A. Wolffe
Reg. No. 33,568

SAW:pr